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FORM PTO1390 OFFICE (REV 10-92)

U.S. DEPARTITION OF COMMERCE PATENT AND TRADEMARK

Express Mail Label Number: ET825363436US
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PRIORITY DATE CLAIMED

1999DE507 10/070071

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

INTERNATIONAL APPLICATION NO. PCT/EP00/08538

INTERNATIONAL FILING DATE 01/09/2000

01/09/2000 | 03/09/1999 (01 September 2000) | (03 September 1999)

TITLE OF INVENTION:

Low Viscous Cellulose Ethers That Flocculate in Hot Water, Method for the Production Thereof and Their Use

APPLICANT(S) FOR DO/EO/US: Alf HAMMES

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items under 35 U.S.C. 371:

- 1. X This express request to immediately begin national examination procedures (35 U.S.C. 371(f)).
- 2. X The U.S. National Fee (35 U.S.C. 371(c)(1)) and other fees as follows:

	,						
CLAIMS	(l) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE		(5) CAL	CULATIONS
	TOTAL CLAIMS	17 - 20 =	0	х	\$18.00		
	INDEPENDENT CLAIMS	3- 3=	0	х	\$84.00		
	MULTIPLE DEPENDENT CLA	+	280.00		280.00		
	BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(4): X For filing with EPO or JP search report (37 CFR 1.492(a)(5) International Preliminary Examination fee paid to USPTO (37 CFR 1.482) 740.00						890.00
	No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) 710.00						
		international preliminary examination fee paid to USPTO (37 CFR) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO 1,040.00					
		ation fee paid to USPTO (37 CFR provisions of PCT Articles 33(2)-33(4) 100.00					
	Surcharge of \$135.00 for furnishing the National fee or oath or declaration later than _ 20 _ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).						
			TOTAL OF ABOVE CALCULATIONS			=	\$ 1170.00
			SUBTOTAL			+	\$ 1170.00
	Processing fee of \$135.00 for furnishing the English translation later than □ 20 □ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).						
		TOTAL NATIONAL FEE					\$1170.00
	Fee for recording the enclosed assignment (37 CFR 1.21(h)).						0.00
	TOTAL FEES ENCLOSED						\$1170.00

- a. X Please charge my Deposit Account No. <u>03-2060</u> in the amount of \$<u>1170.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.
- b. \underline{X} The Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. $\underline{03-2060}$. A duplicate copy of this sheet is enclosed.

3.	X	A copy of the A						
		a.		is transmitted herewith (required only if not transmitted by the International Bureau) and English translation				
		b.		is not required, as the application was filed in the US Receiving Office (RO/US)				
		c.	<u>x</u>	has been transmitted by the International Bureau.				
4.	<u>x</u>	A translation of the International Application into English (35 USC 371(c)(2)).						
5.		Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3)).						
		a. ·	<u> </u>	Are transmitted herewith (required only if not transmitted the International Bureau)				
		b.		Have been transmitted by the International Bureau.				
6.		A translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).						
7.		An oath or declaration of the inventor (35 USC 371(c)(4)).						
8.	x	A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).						
Other	docume	nt(s) or i	nforma	tion included:				
9.		An information Disclosure Statement under 37 CFR 1.97 and 1.98.						
10.		An assignment document for recording. Please mail the recorded assignment document to the person whose signature, name and address appears at the bottom of this page.						
10.1		A copy of the International Filing Receipt.						
10.2		A copy of the International Search Report.						
10.3	<u>X</u>	A copy of the International Preliminary Examination Report.						
10.4		A copy of Form PCT/IB/306 - Notification of the Recording of a Change						
10.5		Preliminary Amendment deleting multiple dependent claims.						
11.	<u>X</u>	The above checked items are being transmitted:						
		by thirty (30) months and a proper demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.						
12.		At the	time o	f transmittal, the time limit for amending claims under Article 19:				
		a.	х	has expired and no amendments were made.				
		b.		has not yet expired.				
13.		Certain requirements under 35 USC 371 were previously submitted by the applicant on, namely:						

Anthony A. Bisulca, Registration No. 40,913
Clariant Corporation
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Date: March 1, 2002

Charlotte, North Carolina 28216

Telephone: 704/395-6701 Facsimile: 704/395-6727

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail Label Number: **ET825363436US**

ET 825363436 US

Date of Mailing: March 1, 2002

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that on the date indicated above this International application and the documents referred to as enclosed therein, of:

Inventor:

Alf HAMMES

For:

LOW-VISCOUS CELLULOSE ETHERS THAT FLOCCULATE IN HOT WATER, METHOD FOR THE PRODUCTION THEREOF AND THEIR

USE

International Application No.: PCT/EP00/08538 International Filing Date: 01 September 2000 **Priority Filing Date:** 03 September 1999

is being deposited with the United States Postal Service as "Post Office to Addressee" Express Mail addressed to the Assistant Commissioner for Patents, Attention: Box PCT (DO/EO/US), Washington, D.C. 20231 in accordance with 37 CFR 1.10.

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

:Attn: Box PCT DO/EO/US

In re new U.S. patent application of

Alf HAMMES

Serial No. To Be Assigned

Filed: March 1, 2002

For:

LOW-VISCOUS CELLULOSE ETHERS THAT FLOCCULATE IN HOT WATER,

METHOD FOR THE PRODUCTION THEREOF AND THEIR USE

<u>Transmittal Letter</u> <u>Notification of Amendments Under PCT Article 34</u>

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Preliminary to the examination of the above-identified application, an Amendment was filed under Article 34 of the Patent Cooperation Treaty prior to the International Preliminary Examination. Please note that the attached pages 14, 15 and 16 were filed with the European Patent Office on September 27, 2001. We have enclosed the English translations of these pages.

Applicant respectfully requests submission of these pages before examination of the application.

Respectfully submitted,

Anthony A. Bisulca Registration No. 40,913

(CUSTOMER NUMBER 25,255)

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CERTIFICATION UNDER 37 CFR 1.10

Express Mail Label No. <u>ET825363436US</u>

Date of Mailing: March 1, 2002

I hereby certify that on the date indicated above, this new U.S. patent application and the papers indicated as enclosed therein, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" addressed to: Assistant Commissioner for Patents, Box PCT DO/EO/US, Washington, D.C. 20231, in accordance with 37 CFR 1.10.

Signature of Person Mailing the Application

Vicki L. Sgro

Typed Name of Person Mailing the Application